# DGAP-PVR: OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

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Released : 03 May 2019

DGAP Voting Rights Announcement: OSRAM Licht AG

OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europewide distribution

#### 03.05.2019 / 14:25

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG. The issuer is solely responsible for the content of this announcement.

#### **Notification of Major Holdings**

#### 1. Details of issuer

Name:	OSRAM Licht AG
Street:	Marcel-Breuer-Straße 6
Postal code:	80807
City:	München Germany
Legal Entity Identifier (LEI):	549300SXOGQHLID37W55

#### 2. Reason for notification

	Acquisition/disposal of shares with voting rights
Х	Acquisition/disposal of instruments
	Change of breakdown of voting rights
	Other reason:

# 3. Details of person subject to the notification obligation

Legal entity: The Goldman Sachs Group, Inc. City of registered office, country: Wilmington, Delaware, United States of America (USA)

# 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

# 5. Date on which threshold was crossed or reached:

25 Apr 2019

## 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	instruments	%	1 Voling rights pursuant to Sec. 41 WoHG
New	0.17 %	10.20 %	10.37 %	96848074
Previous notification	1.15 %	6.57 %	7.72 %	/

## 7. Details on total positions

## a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Abso	olute	In %		
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)		
DE000LED4000		161613	%	0.17 %	

<b>Total</b> 161613	0.17 %
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# b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

		. ,				
Type of instrument	Expiration or r	maturity date	Exercise or	conversion period	Voting rights absolute	Voting rights in %
Securities Lending	Open				8858443	9.15 %
			Total		8858443	9.15 %

## b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	<b>U U</b>
Swap	31.12.2030		Cash	328539	0.34 %
CFD	22.03.2029		Cash	116659	0.12 %
Call Warrant	31.12.2030		Cash	137514	0.14 %
Put Warrant	31.12.2030		Cash	148000	0.15 %
Put Option	21.06.2019		Physical	70000	0.07 %
Call Option	21.06.2019		Physical	220000	0.23 %
			Total	1020712	1.05 %

#### 8. Information in relation to the person subject to the notification obligation

Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).

X Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name The Goldman Sachs Group, Inc. GSAM Holdings LLC	% of voting rights (if at least 3% or more) %	% of voting rights through instruments (if at least 5% or more) %	Total of both (if at least 5% or more) %
Goldman Sachs Asset Management, L.P.	%	%	%
The Goldman Sachs Group, Inc. Goldman Sachs & Co. LLC	% %	% // %	% %
The Goldman Sachs Group, Inc. Goldman Sachs (UK) L.L.C.	<u>%</u>		<u>%</u>
Goldman Sachs Group UK Limited	%	%	%
Goldman Sachs International	%	7.86 %	7.96 %
The Goldman Sachs Group, Inc.	%	%	%
Goldman, Sachs & Co. Wertpapier GmBH	%	%	%

## 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both	
%	%	%	

#### 10. Other explanatory remarks:

Date 02 May 2019

03.05.2019 The DGAP Distribution Services include Regulatory Announcements, Financial/Corporate News and Press Releases. Archive at <u>www.dgap.de</u>

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