

# DGAP-PVR: OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

Released : 13 Sep 2019

DGAP Voting Rights Announcement: OSRAM Licht AG  
OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

13.09.2019 / 14:31

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

Name:	OSRAM Licht AG
Street:	Marcel-Breuer-Straße 6
Postal code:	80807
City:	München Germany
Legal Entity Identifier (LEI):	549300SXOGQHLID37W55

### 2. Reason for notification

<input checked="" type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input type="checkbox"/>	Other reason:

### 3. Details of person subject to the notification obligation

Legal entity: UBS Group AG
City of registered office, country: Zurich, Switzerland

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

UBS AG
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### 5. Date on which threshold was crossed or reached:

05 Sep 2019
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### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	5.14 %	0.76 %	5.90 %	96848074
Previous notification	0.83 %	0.31 %	1.14 %	/

### 7. Details on total positions

#### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE000LED4000		4974134	%	5.14 %

<b>Total</b>	4974134	5.14 %
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**b.1. Instruments according to Sec. 38 (1) no. 1 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right to recall of lent shares		At any time	392502	0.41 %
Right of use over shares		At any time	16477	0.02 %
Physically Settled Long Call Options	20.09.2019		50000	0.05 %
		<b>Total</b>	458979	0.47 %

**b.2. Instruments according to Sec. 38 (1) no. 2 WpHG**

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Short Put Options	20.09.2019 to 20.12.2019		Physical	270000	0.28 %
Equity Futures	20.09.2019		Cash	10998	0.01 %
			<b>Total</b>	280998	0.29 %

**8. Information in relation to the person subject to the notification obligation**

<input type="checkbox"/>	Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Switzerland AG	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Europe SE	%	%	%
UBS Gestion S.G.I.I.C., SA	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Americas Holding LLC	%	%	%
UBS Americas Inc.	%	%	%
UBS Asset Management (Americas) Inc.	%	%	%
UBS Asset Management Trust Company	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Asset Management AG	%	%	%
UBS Asset Management Holding (No. 2) Ltd	%	%	%
UBS Asset Management Holding Ltd	%	%	%
UBS Asset Management (UK) Limited	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Asset Management AG	%	%	%
UBS Asset Management Holding (No. 2) Ltd	%	%	%

UBS Asset Management Holding Ltd	%	%	%
UBS Asset Management Life Ltd	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Asset Management AG	%	%	%
UBS Asset Management (Singapore) Ltd	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Asset Management AG	%	%	%
UBS Fund Management (Luxembourg) SA	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Asset Management AG	%	%	%
UBS Asset Management Switzerland AG	%	%	%
UBS Fund Management (Switzerland) AG	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Europe SE	%	%	%
UBS Group AG	%	%	%
UBS AG	4.77 %	%	5.13 %
UBS Bank (Canada)	%	%	%

#### 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

#### 10. Other explanatory remarks:



Date

10 Sep 2019

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