

# DGAP-PVR: OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

Released : 11 Oct 2019

DGAP Voting Rights Announcement: OSRAM Licht AG  
OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

11.10.2019 / 11:52

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

Name:	OSRAM Licht AG
Street:	Marcel-Breuer-Straße 6
Postal code:	80807
City:	München Germany
Legal Entity Identifier (LEI):	549300SXOGQHLID37W55

### 2. Reason for notification

<input checked="" type="checkbox"/>	Acquisition/disposal of shares with voting rights
<input checked="" type="checkbox"/>	Acquisition/disposal of instruments
<input type="checkbox"/>	Change of breakdown of voting rights
<input type="checkbox"/>	Other reason:

### 3. Details of person subject to the notification obligation

Legal entity: HSBC Holdings plc
City of registered office, country: London, United Kingdom

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached:

07 Oct 2019

### 6. Total positions

	% of voting rights attached to shares (total of 7.a.)	% of voting rights through instruments (total of 7.b.1 + 7.b.2)	Total of both in % (7.a. + 7.b.)	Total number of voting rights pursuant to Sec. 41 WpHG
New	0.09 %	0.26 %	0.36 %	96848074
Previous notification	4.98 %	0.26 %	5.25 %	/

### 7. Details on total positions

#### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

ISIN	Absolute		In %	
	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)	Direct (Sec. 33 WpHG)	Indirect (Sec. 34 WpHG)
DE000LED4000	0	90430	0 %	0.09 %

<b>Total</b>	90430	0.09 %
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### b.1. Instruments according to Sec. 38 (1) no. 1 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Voting rights absolute	Voting rights in %
Right to recall lent securities		At any time	21914	0.02 %
Long Calls (physical settlement)	20DEC 2019 - 18DEC 2020	American style	48800	0.05 %
		<b>Total</b>	70714	0.07 %

### b.2. Instruments according to Sec. 38 (1) no. 2 WpHG

Type of instrument	Expiration or maturity date	Exercise or conversion period	Cash or physical settlement	Voting rights absolute	Voting rights in %
Long Call Warrants	16DEC 2019 - 18DEC 2019	American style	Cash	6062	0.006 %
Short Put Warrants	Open end	Bermuda style	Cash	9129	0.009 %
Contract for Difference	Open end	Bermuda style	Cash	410	0.0004 %
Short Put Options	20DEC 2019 - 20MAR 2020	American style	Physical	33000	0.03 %
Short Put Option	26AUG 2024	European style	Physical	25880	0.03 %
Short Put Option (Basket)	19AUG 2024	European style	Physical	109299	0.11 %
			<b>Total</b>	183780	0.19 %

### 8. Information in relation to the person subject to the notification obligation

<input type="checkbox"/>	Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.).
<input checked="" type="checkbox"/>	Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:

Name	% of voting rights (if at least 3% or more)	% of voting rights through instruments (if at least 5% or more)	Total of both (if at least 5% or more)
HSBC Holdings plc	%	%	%
HSBC UK Holdings Limited	%	%	%
HSBC Bank plc	%	%	%
HSBC Holdings Plc	%	%	%
HSBC Global Asset Management Limited	%	%	%
HSBC Global Asset Management (UK) Limited	%	%	%
HSBC Holdings Plc	%	%	%
HSBC UK Holdings Limited	%	%	%
HSBC Bank Plc	%	%	%
HSBC Germany Holdings GmbH	%	%	%
HSBC Trinkaus & Burkhardt AG	%	%	%

### 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

Proportion of voting rights	Proportion of instruments	Total of both
%	%	%

### 10. Other explanatory remarks:



Date

11 Oct 2019

Language: English  
Company: OSRAM Licht AG  
Marcel-Breuer-Straße 6  
80807 München  
Germany  
Internet: [www.osram-group.com](http://www.osram-group.com)

End of News DGAP News Service

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