

# DGAP-PVR: OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

Released : 21 Apr 2020

DGAP Voting Rights Announcement: OSRAM Licht AG  
OSRAM Licht AG: Release according to Article 40, Section 1 of the WpHG [the German Securities Trading Act] with the objective of Europe-wide distribution

21.04.2020 / 07:13

Dissemination of a Voting Rights Announcement transmitted by DGAP - a service of EQS Group AG.  
The issuer is solely responsible for the content of this announcement.

## Notification of Major Holdings

### 1. Details of issuer

|                                |                        |
|--------------------------------|------------------------|
| Name:                          | OSRAM Licht AG         |
| Street:                        | Marcel-Breuer-Straße 6 |
| Postal code:                   | 80807                  |
| City:                          | München<br>Germany     |
| Legal Entity Identifier (LEI): | 549300SXOGQHLID37W55   |

### 2. Reason for notification

|                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Acquisition/disposal of shares with voting rights |
| <input type="checkbox"/>            | Acquisition/disposal of instruments               |
| <input type="checkbox"/>            | Change of breakdown of voting rights              |
| <input type="checkbox"/>            | Other reason:                                     |

### 3. Details of person subject to the notification obligation

|  |
|--|
| Legal entity: BlackRock, Inc.  |
| City of registered office, country: Wilmington, Delaware, United States of America (USA) |

### 4. Names of shareholder(s)

holding directly 3% or more voting rights, if different from 3.

### 5. Date on which threshold was crossed or reached:

14 Apr 2020

### 6. Total positions

|                       | % of voting rights attached to shares<br>(total of 7.a.) | % of voting rights through instruments<br>(total of 7.b.1 + 7.b.2) | Total of both in %<br>(7.a. + 7.b.) | Total number of voting rights pursuant to Sec. 41 WpHG |
|-----------------------|--|--|-------------------------------------|--|
| New                   | 3.04 %   | 1.09 %   | 4.13 %                              | 96848074   |
| Previous notification | 2.92 %   | 1.01 %   | 3.93 %                              | /  |

### 7. Details on total positions

#### a. Voting rights attached to shares (Sec. 33, 34 WpHG)

| ISIN         | Absolute                 |                            | In %                     |                            |
|--------------|--------------------------|----------------------------|--------------------------|----------------------------|
|              | Direct<br>(Sec. 33 WpHG) | Indirect<br>(Sec. 34 WpHG) | Direct<br>(Sec. 33 WpHG) | Indirect<br>(Sec. 34 WpHG) |
| DE000LED02V0 | 0                        | 8579                       | 0 %                      | 0.01 %                     |

|              |   |         |     |        |
|--------------|---|---------|-----|--------|
| DE000LED4000 | 0 | 2934042 | 0 % | 3.03 % |
| <b>Total</b> |   | 2942621 |     | 3.04 % |

**b.1. Instruments according to Sec. 38 (1) no. 1 WpHG**

| Type of instrument                | Expiration or maturity date | Exercise or conversion period | Voting rights absolute | Voting rights in % |
|-----------------------------------|-----------------------------|-------------------------------|------------------------|--------------------|
| Lent Securities (right to recall) | N/A                         | N/A                           | 106078                 | 0.11 %             |
|                                   |                             | <b>Total</b>                  | 106078                 | 0.11 %             |

**b.2. Instruments according to Sec. 38 (1) no. 2 WpHG**

| Type of instrument      | Expiration or maturity date | Exercise or conversion period | Cash or physical settlement | Voting rights absolute | Voting rights in % |
|-------------------------|-----------------------------|-------------------------------|-----------------------------|------------------------|--------------------|
| Contract for Difference | N/A                         | N/A                           | Cash                        | 954844                 | 0.99 %             |
|                         |                             |                               | <b>Total</b>                | 954844                 | 0.99 %             |

**8. Information in relation to the person subject to the notification obligation**

|                                     |   |
|-------------------------------------|---|
| <input type="checkbox"/>            | Person subject to the notification obligation is not controlled nor does it control any other undertaking(s) that directly or indirectly hold(s) an interest in the (underlying) issuer (1.). |
| <input checked="" type="checkbox"/> | Full chain of controlled undertakings starting with the ultimate controlling natural person or legal entity:  |

| Name  | % of voting rights (if at least 3% or more) | % of voting rights through instruments (if at least 5% or more) | Total of both (if at least 5% or more) |
|---|---|---|--|
| BlackRock, Inc.   | %   | %   | %                                      |
| Trident Merger LLC  | %   | %   | %                                      |
| BlackRock Investment Management, LLC                        | %   | %   | %                                      |
| -   | %   | %   | %                                      |
| BlackRock, Inc.   | %   | %   | %                                      |
| BlackRock Holdco 2, Inc.                                    | %   | %   | %                                      |
| BlackRock Financial Management, Inc.                        | %   | %   | %                                      |
| -   | %   | %   | %                                      |
| BlackRock, Inc.   | %   | %   | %                                      |
| BlackRock Holdco 2, Inc.                                    | %   | %   | %                                      |
| BlackRock Financial Management, Inc.                        | %   | %   | %                                      |
| BlackRock Capital Holdings, Inc.                            | %   | %   | %                                      |
| BlackRock Advisors, LLC                                     | %   | %   | %                                      |
| -   | %   | %   | %                                      |
| BlackRock, Inc.   | %   | %   | %                                      |
| BlackRock Holdco 2, Inc.                                    | %   | %   | %                                      |
| BlackRock Financial Management, Inc.                        | %   | %   | %                                      |
| BlackRock International Holdings, Inc.                      | %   | %   | %                                      |
| BR Jersey International Holdings L.P.                       | %   | %   | %                                      |
| BlackRock (Singapore) Holdco Pte. Ltd.                      | %   | %   | %                                      |
| BlackRock (Singapore) Limited                               | %   | %   | %                                      |
| -   | %   | %   | %                                      |
| BlackRock, Inc.   | %   | %   | %                                      |
| BlackRock Holdco 2, Inc.                                    | %   | %   | %                                      |
| BlackRock Financial Management, Inc.                        | %   | %   | %                                      |
| BlackRock Holdco 4, LLC                                     | %   | %   | %                                      |
| BlackRock Holdco 6, LLC                                     | %   | %   | %                                      |
| BlackRock Delaware Holdings Inc.                            | %   | %   | %                                      |
| BlackRock Fund Advisors                                     | %   | %   | %                                      |
| -   | %   | %   | %                                      |
| BlackRock, Inc.   | %   | %   | %                                      |
| BlackRock Holdco 2, Inc.                                    | %   | %   | %                                      |
| BlackRock Financial Management, Inc.                        | %   | %   | %                                      |
| BlackRock Holdco 4, LLC                                     | %   | %   | %                                      |
| BlackRock Holdco 6, LLC                                     | %   | %   | %                                      |
| BlackRock Delaware Holdings Inc.                            | %   | %   | %                                      |
| BlackRock Institutional Trust Company, National Association | %   | %   | %                                      |

|   |   |   |   |
|---|---|---|---|
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |
| BR Jersey International Holdings L.P.               | % | % | % |
| BlackRock Australia Holdco Pty. Ltd.                | % | % | % |
| BlackRock Investment Management (Australia) Limited | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |
| BR Jersey International Holdings L.P.               | % | % | % |
| BlackRock (Singapore) Holdco Pte. Ltd.              | % | % | % |
| BlackRock HK Holdco Limited                         | % | % | % |
| BlackRock Asset Management North Asia Limited       | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |
| BR Jersey International Holdings L.P.               | % | % | % |
| BlackRock Holdco 3, LLC                             | % | % | % |
| BlackRock Canada Holdings LP                        | % | % | % |
| BlackRock Canada Holdings ULC                       | % | % | % |
| BlackRock Asset Management Canada Limited           | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |
| BR Jersey International Holdings L.P.               | % | % | % |
| BlackRock (Singapore) Holdco Pte. Ltd.              | % | % | % |
| BlackRock HK Holdco Limited                         | % | % | % |
| BlackRock Lux Finco S. a r.l.                       | % | % | % |
| BlackRock Japan Holdings GK                         | % | % | % |
| BlackRock Japan Co., Ltd.                           | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |
| BR Jersey International Holdings L.P.               | % | % | % |
| BlackRock Holdco 3, LLC                             | % | % | % |
| BlackRock Cayman 1 LP                               | % | % | % |
| BlackRock Cayman West Bay Finco Limited             | % | % | % |
| BlackRock Cayman West Bay IV Limited                | % | % | % |
| BlackRock Group Limited                             | % | % | % |
| BlackRock Finance Europe Limited                    | % | % | % |
| BlackRock (Netherlands) B.V.                        | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.                                     | % | % | % |
| BlackRock Holdco 2, Inc.                            | % | % | % |
| BlackRock Financial Management, Inc.                | % | % | % |
| BlackRock International Holdings, Inc.              | % | % | % |

|  |   |   |   |
|--|---|---|---|
| BR Jersey International Holdings L.P.                    | % | % | % |
| BlackRock Holdco 3, LLC                                  | % | % | % |
| BlackRock Cayman 1 LP                                    | % | % | % |
| BlackRock Cayman West Bay Finco Limited                  | % | % | % |
| BlackRock Cayman West Bay IV Limited                     | % | % | % |
| BlackRock Group Limited                                  | % | % | % |
| BlackRock Finance Europe Limited                         | % | % | % |
| BlackRock Advisors (UK) Limited                          | % | % | % |
| -  | % | % | % |
| BlackRock, Inc.  | % | % | % |
| BlackRock Holdco 2, Inc.                                 | % | % | % |
| BlackRock Financial Management, Inc.                     | % | % | % |
| BlackRock International Holdings, Inc.                   | % | % | % |
| BR Jersey International Holdings L.P.                    | % | % | % |
| BlackRock Holdco 3, LLC                                  | % | % | % |
| BlackRock Cayman 1 LP                                    | % | % | % |
| BlackRock Cayman West Bay Finco Limited                  | % | % | % |
| BlackRock Cayman West Bay IV Limited                     | % | % | % |
| BlackRock Group Limited                                  | % | % | % |
| BlackRock International Limited                          | % | % | % |
| BlackRock Life Limited                                   | % | % | % |
| -  | % | % | % |
| BlackRock, Inc.  | % | % | % |
| BlackRock Holdco 2, Inc.                                 | % | % | % |
| BlackRock Financial Management, Inc.                     | % | % | % |
| BlackRock International Holdings, Inc.                   | % | % | % |
| BR Jersey International Holdings L.P.                    | % | % | % |
| BlackRock Holdco 3, LLC                                  | % | % | % |
| BlackRock Cayman 1 LP                                    | % | % | % |
| BlackRock Cayman West Bay Finco Limited                  | % | % | % |
| BlackRock Cayman West Bay IV Limited                     | % | % | % |
| BlackRock Group Limited                                  | % | % | % |
| BlackRock Finance Europe Limited                         | % | % | % |
| BlackRock Investment Management (UK) Limited             | % | % | % |
| -  | % | % | % |
| BlackRock, Inc.  | % | % | % |
| BlackRock Holdco 2, Inc.                                 | % | % | % |
| BlackRock Financial Management, Inc.                     | % | % | % |
| BlackRock International Holdings, Inc.                   | % | % | % |
| BR Jersey International Holdings L.P.                    | % | % | % |
| BlackRock Holdco 3, LLC                                  | % | % | % |
| BlackRock Cayman 1 LP                                    | % | % | % |
| BlackRock Cayman West Bay Finco Limited                  | % | % | % |
| BlackRock Cayman West Bay IV Limited                     | % | % | % |
| BlackRock Group Limited                                  | % | % | % |
| BlackRock Luxembourg Holdco S.a.r.l.                     | % | % | % |
| BlackRock Investment Management Ireland Holdings Limited | % | % | % |
| BlackRock Asset Management Ireland Limited               | % | % | % |
| -  | % | % | % |
| BlackRock, Inc.  | % | % | % |
| BlackRock Holdco 2, Inc.                                 | % | % | % |
| BlackRock Financial Management, Inc.                     | % | % | % |
| BlackRock International Holdings, Inc.                   | % | % | % |
| BR Jersey International Holdings L.P.                    | % | % | % |
| BlackRock Holdco 3, LLC                                  | % | % | % |
| BlackRock Cayman 1 LP                                    | % | % | % |

|   |   |   |   |
|---|---|---|---|
| BlackRock Cayman West Bay Finco Limited                                   | % | % | % |
| BlackRock Cayman West Bay IV Limited                                      | % | % | % |
| BlackRock Group Limited   | % | % | % |
| BlackRock Luxembourg Holdco S.a.r.l.                                      | % | % | % |
| BlackRock UK Holdco Limited   | % | % | % |
| BlackRock Asset Management Schweiz AG                                     | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.   | % | % | % |
| BlackRock Holdco 2, Inc.  | % | % | % |
| BlackRock Financial Management, Inc.                                      | % | % | % |
| BlackRock International Holdings, Inc.                                    | % | % | % |
| BR Jersey International Holdings L.P.                                     | % | % | % |
| BlackRock Holdco 3, LLC   | % | % | % |
| BlackRock Cayman 1 LP   | % | % | % |
| BlackRock Cayman West Bay Finco Limited                                   | % | % | % |
| BlackRock Cayman West Bay IV Limited                                      | % | % | % |
| BlackRock Group Limited   | % | % | % |
| BlackRock Finance Europe Limited  | % | % | % |
| BlackRock Investment Management (UK) Limited                              | % | % | % |
| BlackRock Fund Managers Limited   | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.   | % | % | % |
| BlackRock Holdco 2, Inc.  | % | % | % |
| BlackRock Financial Management, Inc.                                      | % | % | % |
| BlackRock International Holdings, Inc.                                    | % | % | % |
| BR Jersey International Holdings L.P.                                     | % | % | % |
| BlackRock Holdco 3, LLC   | % | % | % |
| BlackRock Cayman 1 LP   | % | % | % |
| BlackRock Cayman West Bay Finco Limited                                   | % | % | % |
| BlackRock Cayman West Bay IV Limited                                      | % | % | % |
| BlackRock Group Limited   | % | % | % |
| BlackRock Finance Europe Limited  | % | % | % |
| BlackRock Investment Management (UK) Limited                              | % | % | % |
| BlackRock Asset Management Deutschland AG                                 | % | % | % |
| -   | % | % | % |
| BlackRock, Inc.   | % | % | % |
| BlackRock Holdco 2, Inc.  | % | % | % |
| BlackRock Financial Management, Inc.                                      | % | % | % |
| BlackRock International Holdings, Inc.                                    | % | % | % |
| BR Jersey International Holdings L.P.                                     | % | % | % |
| BlackRock Holdco 3, LLC   | % | % | % |
| BlackRock Cayman 1 LP   | % | % | % |
| BlackRock Cayman West Bay Finco Limited                                   | % | % | % |
| BlackRock Cayman West Bay IV Limited                                      | % | % | % |
| BlackRock Group Limited   | % | % | % |
| BlackRock Finance Europe Limited  | % | % | % |
| BlackRock Investment Management (UK) Limited                              | % | % | % |
| BlackRock Asset Management Deutschland AG                                 | % | % | % |
| iShares (DE) I Investmentaktiengesellschaft mit Teilgesellschaftsvermögen | % | % | % |
| -   | % | % | % |

### 9. In case of proxy voting according to Sec. 34 para. 3 WpHG

(only in case of attribution of voting rights in accordance with Sec. 34 para. 1 sent. 1 No. 6 WpHG)

Date of general meeting:

Holding total positions after general meeting (6.) after annual general meeting:

| Proportion of voting rights | Proportion of instruments | Total of both |
|-----------------------------|---------------------------|---------------|
| %                           | %                         | %             |

**10. Other explanatory remarks:**



Date

17 Apr 2020

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Germany

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End of News DGAP News Service

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